

Phillip Capital Limited ABN 14 002 918 247 AFSL 246827 and Phillip Securities (Aus) Limited ABN 95 092 989 083 AFSL 247100

PLEASE EMAIL COMPLETED AND SIGNED FORM TO [SETTLEMENTS@PHILLIPCAPITAL.COM.AU](mailto:SETTLEMENTS@PHILLIPCAPITAL.COM.AU)

I/We hereby request PhillipCapital to terminate my/our CHESS Sponsored HIN held with PhillipCapital in accordance with ASX Settlement Rules 7.1.11 and authorise PhillipCapital to close my/our account listed below.

Account No		Holder Identification Number (HIN)	X
Account Name			
Designation			

1. If **any Australian securities remain** on the above account, I/we authorise PhillipCapital to:

Convert ALL Australian securities remaining on the above HIN account to being Issuer Sponsored (Issuer Sponsored means securities managed by the issuer of those securities via the issuer's Share (Security) Registry).

OR

Transfer ALL Australian securities remaining on the above HIN account to the below Broker

Broker Name \_\_\_\_\_

Broker PID \_\_\_\_\_

**Note:** Please contact the receiving Broker to initiate the transfer request so that PhillipCapital is able to proceed with the asset transfer request.

2. If **any International securities remain** on the above account, I/we authorise PhillipCapital to:

Transfer ALL international securities remaining in the above account to the below Broker and I/we hereby certify that the transfer will not result in a change of beneficial ownership.

Broker Name \_\_\_\_\_

Broker Contact Details \_\_\_\_\_

Account Number at the New Broker \_\_\_\_\_

**Note:** Please contact the receiving Broker to initiate the transfer request so that PhillipCapital is able to proceed with the asset transfer request. Transfer out Fees are applicable\*

OR

Surrender ownership of ALL international securities in the above account to PhillipCapital. I/We agree to surrender ownership of the above securities to PhillipCapital AND I/we understand that I/we will no longer be charged for the administration for holding the securities AND I/we **forego any profits** if the securities are re-listed on a market and/or if the securities increase in value. I/We confirm that I/we will have no further claim to the international securities in the above account.

3. If **any funds remain** in the above account, I/we authorise PhillipCapital to direct credit those funds into my nominated bank account.

Individual / Sole Director /  
Director (1)

Individual (2) / Director (2) /  
Secretary

Individual (3)

Signature(s): \_\_\_\_\_

Full Name(s): \_\_\_\_\_

Date(s):(DD/MM/YYYY) \_\_\_\_\_

**Please note that digital signatures are NOT accepted**

**INTERNAL OFFICE USE ONLY - I confirm that**

- I have completed the Call back process to perform an Account Verification using the contact number from the Client Database.
- I am able to verify the client and confirm the instruction is accurate.

Date validated: .....

Adviser Name

.....

Signature of Adviser

.....