

HOW TO COMPLETE YOUR W-8BEN-E FORM

Trust Account

You must complete and return a W-8BEN-E form if you wish to trade shares listed in the United States (U.S.) on your trading account.

The W-8BEN-E Form is a U.S. Internal Revenue Service (IRS) form used to reflect the documentation requirements of Chapter 4 and is only required for non-U.S. residents. By completing the form you may be eligible to pay a reduced rate of withholding tax.

Please DO NOT complete this form if you are a U.S. citizen or Green Card holder.

W-8BEN-E forms remain in effect until 31 December three years after the date of signing, unless there are any changes in your personal circumstances. For example, a Form W-8BEN-E form signed on May 31, 2021, remains valid through to and including December 31, 2024.

Please be informed that PhillipCapital is unable to provide you with any tax advice in relation to your declaration. For further advice, please contact the IRS or your tax adviser.

Account Type	Parts to Complete
Trust Account -Individual Trustees	Part I: 1, 2, 4, 5, 6, 7, 8, Part III: 14a and b Part XXV or XXVI (Choose applicable) Sign Part XXX
Trust Account -Corporate Trustee	Part I: 1, 2, 4, 5, 6, 7, 8, Part III: 14a and b Part XXV or XXVI (Choose applicable) Sign Part XXX
Account Type	Parts to Complete

Please return your signed and completed form to:

By Email:

Scan the completed form to your adviser or settlements@phillipcapital.com.au

By Post:

PhillipCapital
International Trading
Desk PO Box 628
Collins Street West VIC
8007

Notes:

All Parts (Excluding XXX): can be completed electronically

Part XXX, must be signed by hand

Alterations **are not** allowed. If you make a mistake please start again.

Form **W-8BEN-E** | **Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)** | OMB No. 1545-1621

IMPORTANT
DO NOT USE THIS FORM IF:

- U.S. entity or U.S. citizen or resident W-9
- A foreign individual W-8BEN (Individual) or Form 8233
- A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the United States (unless claiming treaty benefits) W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) . . . W-8IMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions) W-8ECI or W-8EXP
- Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) W-8IMY

Part I Identification of Beneficial Owner

1 Name of organization that is the beneficial owner
Phillip Melbourne Trust

2 Country of incorporation or organization
Australia

3 Name of disregarded entity receiving the payment (if applicable, see instructions)

4 Chapter 3 Status (entity type) (Must check one box only):

<input type="checkbox"/> Simple trust	<input type="checkbox"/> Tax-exempt organization	<input checked="" type="checkbox"/> Complex trust	<input type="checkbox"/> Partnership
<input type="checkbox"/> Central Bank of Issue	<input type="checkbox"/> Private foundation	<input type="checkbox"/> Estate	<input type="checkbox"/> Foreign Government - Controlled Entity
<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> International organization	<input type="checkbox"/> Foreign Government - Integral Part

If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III. ☐ Yes ☐ No

5 Chapter 4 Status (entity type) (Must check one box only):

<input type="checkbox"/> Nonparticipating FFI (other than exempt beneficial owner)	<input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII.
<input type="checkbox"/> Participating FFI (other than exempt beneficial owner)	<input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX.
<input type="checkbox"/> Reporting FFI (other than exempt beneficial owner)	<input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX.
<input type="checkbox"/> Registered FFI (other than exempt beneficial owner)	<input type="checkbox"/> 501(c) organization. Complete Part XXI.
<input type="checkbox"/> FFI sponsored by a U.S. person (other than exempt beneficial owner)	<input type="checkbox"/> Nonprofit organization. Complete Part XXII.
<input type="checkbox"/> Sponsored FFI. Complete Part IV.	<input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.
<input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.	<input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV.
	<input type="checkbox"/> Active NFFE. Complete Part XXV.
	<input type="checkbox"/> Passive NFFE. Complete Part XXVI.
	<input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII.
	<input type="checkbox"/> Direct reporting NFFE.
	<input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII.
	<input type="checkbox"/> Account that is not a financial account.

6 Permanent residence address (street, apt. or suite no., or rural route). **Do not use a P.O. box or in-care-of address** (other than a registered address).
Level 5, 45 William Street
City or town, state or province. Include postal code where appropriate.
MELBOURNE VIC 3000 Country **AUSTRALIA**

7 Mailing address (if different from above)
PO BOX 628
City or town, state or province. Include postal code where appropriate.
COLLINS STREET WEST VIC 8007 Country **AUSTRALIA**

For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59689N Form **W-8BEN-E** (Rev. 10-2021)

(6) : **Corporate Trustee:** complete registered address as listed on ASIC and application form

Individual Trustee: complete residential address as per the application form

You can complete your PO Box address in (7) , if you have provided the Street address in Part 6

Part I Identification of Beneficial Owner (continued)**8** U.S. taxpayer identification number (TIN), if required**9a** GIIN**b** Foreign TIN

123 456 789 101

c Check if FTIN not legally required. ☐**10** Reference number(s) (see instructions)**Note:** Please complete remainder of the form including signing the form in Part XXX.**Part II Disregarded Entity or Branch Receiving Payment.** (Complete only if a disregarded entity with a GIIN or a branch of an FFI in a country other than the FFI's country of residence. See instructions.)**11** Chapter 4 Status (FATCA status) of disregarded entity or branch receiving payment☐ Branch treated as nonparticipating FFI.☐ Reporting Model 1 FFI.☐ U.S. Branch.☐ Reporting Model 2 FFI., apt. or suite no., or rural route). **Do not use a P.O. box or in-care-of address** (other than a

ode where appropriate.

Part III. (14)

Select A and Complete country of residence

Select B and select

Enter as highlighted

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)**14** I certify that (check all that apply):**a** ☒ The beneficial owner is a resident of AUSTRALIA within the meaning of the income tax treaty between the United States and that country.**b** ☒ The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):☐ Government☐ Tax-exempt pension trust or pension fund☐ Other tax-exempt organization☐ Publicly traded corporation☐ Subsidiary of a publicly traded corporation☐ Company that meets the ownership and base erosion test☐ Company that meets the derivative benefits test☐ Company with an item of income that meets active trade or business test☐ Favorable discretionary determination by the U.S. competent authority received☐ No LOB article in treaty☒ Other (specify Article and paragraph): Article 16 (2) (g)-ownership/base erosion test**c** ☐ The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).**15 Special rates and conditions**

The beneficial owner is claiming

of the treaty identified on

Explain the additional conditions

Part XXV

Select (39) if Active NFFE as selected in Part 1 (5)

Part XXVISelect (40a) Plus B or C if Passive NFFE is selected in Part 1 (5)**Part XXV Active NFFE****39** ☐ I certify that:

- The entity identified in Part I is a foreign entity that is not a financial institution;
- Less than 50% of such entity's gross income for the preceding calendar year is passive income; **and**
- Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly) (see instructions for the definition of passive income).

Part XXVI Passive NFFE**40a** ☐ I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity organized in a possession of the United States) and is not certifying its status as a publicly traded NFFE (or affiliate), excepted territory NFFE, active NFFE, direct reporting NFFE, or sponsored direct reporting NFFE.

Check box 40b or 40c, whichever applies.

b ☐ I further certify that the entity identified in Part I has no substantial U.S. owners (or, if applicable, no controlling U.S. persons); **or****c** ☐ I further certify that the entity identified in Part I has provided the name, address, and TIN of each substantial U.S. owner (or, if applicable, controlling U.S. person) of the NFFE in Part XXIX.

Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes, or is submitting this form for purposes of section 6050W or 6050Y;
- The entity identified on line 1 of this form is not a U.S. person;

• This form relates to: (a) income not effectively connected with the conduct of a trade or business in the United States, (b) income from the conduct of a trade or business in the United States but is not subject to tax under an income tax treaty, (c) the partner's share of net taxable income, or (d) the partner's amount realized from the transfer of a partnership interest subject to withholding.

For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner, or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

☒ I certify that I have the capacity to sign for the entity identified on line 1 of this form.

Sign Here

Sign by Hand

Signature of individual authorized to sign for beneficial owner

PHILLIP MELBOURNE

Print Name

09/20/2021

Date (MM-DD-YYYY)

Form **W-8BEN-E** (Rev. 10-2021)

IMPORTANT

This is American (USA) format Only
Month/Day/Year

We will reject if not in this format

Part XXX. Select