

SOURCE OF WEALTH DECLARATION

POLITICALLY EXPOSED PERSON AND FOREIGN RESIDENT

Phillip Capital Limited ABN 14 002 918 247 AFSL 246827

**INSTRUCTIONS: PLEASE EMAIL SCANNED FORM TO YOUR ADVISER OR
SETTLEMENTS@PHILLIPCAPITAL.COM.AU**

If you indicated that the applicant/s is a Politically Exposed Person (PEP) in Section 2 of the Account Application, being that (i) You, (ii) an immediate family member, (iii) a close associate or (iv) you are an Authorised Third Party who the Applicant has authorised for their account, have been entrusted with a prominent public role; eg a senior Government role such as a member of Federal or State/ Territory Parliament, head of military, administrative or judicial branches of government, a senior executive of a government enterprise/agency, or a member of a ruling royal family, under the Anti-Money Laundering and Counter-Terrorism Act 2006, you are required to make a declaration advising the details of the role and your tax residency. PEP does not generally include a member of local government; eg. council or shire president.

Title of your Role: _____

Government or other agency who you represent: _____

Summary of the Role: _____

Tick here if this declaration is an attachment to a PhillipCapital Account Application

If this is an attachment to an Account Application, only complete the source of wealth details below you need not complete the address and contact details.

Title Dr. Mr. Mrs. Miss M Other _____
s

Given Names _____ Surname _____
OR Company name in full - DO NOT USE INITIALS OR Company ABN and/or AFSL

Registration/Postal Address _____
Street Number & Name Suburb State Postcode

Country/ies of _____ Place & Country of Birth _____
Citizenship

Date of Birth _____ Contact Phone _____

Work Phone _____ Mobile _____

Email _____
Trade Confirmations will be sent to this email unless you advise a different email address in Section 6 of your application.

Politically Exposed Person (PEP) Source of Wealth details

Please provide your **primary source of income** and any other source of wealth and information for your account, including assets not held with PhillipCapital:

Business earnings Salary Investments Retirement Assets/Pension Other _____
Annual Income (before tax) \$0 - \$50k \$50k - \$100k \$100k - \$200k
 \$200k - \$500k \$500k - \$1 Million > \$1 Million

Please describe your source of wealth for investment activity in as much detail as possible such as types of businesses and sale proceeds or recent sales, investments etc.

.....
Signature of Applicant

.....
Date

EVERY INDIVIDUAL APPLICANT WHO IS A PEP MUST COMPLETE THIS FORM