

# Warrant Client Agreement

Phillip Capital Limited ABN 14 002 918 247 AFSL 246827

**PLEASE COMPLETE AND RETURN TO PHILLIP CAPITAL LIMITED VIA EMAIL: [settlements@phillipcapital.com.au](mailto:settlements@phillipcapital.com.au)**

Client Account Name:			
Client Account Number:			
Street Number & Name:			
Suburb:			
State:		Postcode:	
Country:			

**I/We hereby declare that:**

- i. I/We have received and read the explanatory booklets issued by ASX Limited (ASX) and Cboe Australia Pty Ltd (Cboe) in respect to Warrants.
- ii. I/We understand that neither Australian Options Market nor ASX Clear Pty Ltd have any involvement whatsoever Warrants.
- iii. I/We am/are aware that a Warrant has a limited life and cannot be traded after its expiry date.
- iv. I/We am/are aware that Warrants do not have standard Terms of Issue and acknowledge that it is my responsibility to become aware of the Terms of Issue of any Warrant in which I choose to invest.
- v. I/we am/are aware that Warrants may be subject to adjustments after their initial issue. I acknowledge that it is my responsibility to become aware of any adjustments which may have been made to any Warrant in which I choose to invest.
- vi. I/We am/are aware that admission to Trading Status of a Warrant does not imply that the ASX or Cboe or the Securities Exchanges Guarantee Corporation Limited gives any guarantee or warranty of the viability or the Warrant-Issuer or Guarantor.
- vii. I/We acknowledge that failure of the Warrant-Issuer or the Guarantor (if applicable) to fulfill their obligations does not give rise to a claim against the ASX, Cboe, handling Participating Organisations or the Securities Exchange Guarantee Corporation Limited.

**SIGNATURE OF ALL ACCOUNT HOLDERS**

Individual/Director (2) Full Name	Signature	Date
		/ /

Individual/Director (2) Full Name	Signature	Date
		/ /

Individual/Director (3) Full Name	Signature	Date
		/ /